



84/008

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Attorney Docket No. 011738.00050)**

In re U.S. Patent Application of Baudino,  
et al. )  
Application No. 10/053,329 )  
Filed: November 9, 2001 )  
For: TECHNIQUES FOR SELECTIVE )  
ACTIVATION OF NEURONS IN THE )  
BRAIN, SPINAL CORD PARENCHYMA )  
OR PERIPHERAL NERVE )

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Part Unit: 3762 TECHNOLOGY CENTER H3700

Group Art Unit: 3762

## **INFORMATION DISCLOSURE STATEMENT**

Asst. Commissioner of Patents  
Washington, DC 20231

Pursuant to 37 CFR §1.97 and 1.98, the Applicant wishes to make the following references of record in the above-identified application. This Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 CFR §1.56. Copies of the references cited below are enclosed. The references are also listed on the enclosed and completed PTO Form 1449.

This Information Disclosure Statement is filed under 37 CFR §1.97(b) within three months of this application's filing date or before the mailing date of a first Office Action on the merits. Accordingly, there is no fee due for filing this Information Disclosure Statement.

Under 37 CFR §1.97(g), the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made.

Under 37 CFR §1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the cited references are, or are considered to be, material to patentability as defined in 37 CFR §1.56(b).

## REFERENCES

### U.S. Patent Documents:

U.S. Patent No. 4,692,147 for "Drug Administration Device"

U.S. Patent No. 5,113,859 for "Acoustic Body Bus Medical Device Communication System"

U.S. Patent No. 5,259,387 for "ECG Muscle Artifact Filter System"

U.S. Patent No. 5,551,426 for "Intracardiac Ablation and Mapping Catheter"

U.S. Patent No. 5,711,316 for "Method of Treating Movement Disorders By Brain Infusion"

U.S. Patent No. 5,713,922 for "Techniques for Adjusting the Locus of Excitation of Neural Tissue in the Spinal Cord or Brain"

U.S. Patent No. 5,792,186 for "Method and Apparatus for Treating Neurodegenerative Disorders By Electrical Brain Stimulation"

U.S. Patent No. 5,925,070 for "Techniques for Adjusting the Locus of Excitation of Electrically Excitable Tissue"

U.S. Patent No. 6,129,685 for "Stereotactic Hypothalamic Obesity Probe"

### Foreign Patent Documents:

International Application No. PCT/US95/00906

### Other Publications:

T. Riechert, H. Spuler, "Instrumentation of Stereotaxy," *Stereotaxy of the Human Brain*, (1982) pages 350-363, 606, 639.

Respectfully submitted,

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1 of 1

### Complete if Known

Application Number	10/053,329
Filing Date	November 9, 2001
First Named Inventor	Baudino
Group Art Unit	3762
Examiner Name	Unassigned

Attorney Docket Number

011738.00050

U.S. PATENT DOCUMENTS					
Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US 4,692,147	09/08/1987	Duggan	
		US 5,113,859	05/19/1992	Funke	
		US 5,259,387	11/09/1993	dePinto	
		US 5,551,426	09/03/1996	Hummel, et al.	
		US 5,711,316	01/27/1998	Elsberry, et al.	
		US 5,713,922	02/03/1998	King	
		US 5,792,186	08/11/1998	Rise	
		US 5,925,070	07/20/1999	King, et al.	
		US 6,129,685	10/10/2000	Howard, III	

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FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)			
		International Application No. PCT/US95/00906	07/27/1995		T <sup>6</sup>

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS					
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
		T. Riechert, H. Spuler, "Instrumentation of Stereotaxy," Stereotaxy of the Human Brain, (1982) pages 350-363, 606, 639.			

Examiner Signature	Date Considered
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<sup>1</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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